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# SIMPLE FUSION SYSTEMS AND THE SOLOMON 2-LOCAL GROUPS

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ABSTRACT. We introduce a notion of simple fusion systems which imitates the corresponding notion for finite groups and show that the fusion system on the Sylow-2-subgroup of a 7-dimensional spinor group over a field of characteristic 3 considered by Ron Solomon [18] and by Ran Levi and Bob Oliver [11] is simple in this sense.

# Introduction

The bigger picture which motivates the content of the present paper is the intuition, formulated by D. J. Benson in [3], that associated with each fusion system on a finite p-group in the sense of Puig [15] there should be a p-complete topological space which generalises the concept of a classifying space of a finite group. Broto, Levi and Oliver developed in [4] a theory describing how such a space should look, leading to the notion of a p-local finite group, and they gave in particular a cohomological criterion for the existence and uniqueness of p-local finite groups. Using this criterion, Levi and Oliver showed in [11] that there is up to homotopy equivalence a unique 2-local group associated with Solomon's fusion system and they showed further that this coincides indeed with the space constructed earlier by Benson in [3]. Put in these terms, Solomon's fusion system provides an example of a simple 2-local finite group which is not the 2complete classifying space of any finite group by [18]. In fact, Solomon's fusion system cannot even be the fusion system of any 2-block of a finite group by [9]. Besides the obvious question - can one classify simple fusion systems? - one might wonder, whether the problem of the existence and uniqueness of a p-local finite group associated with any fusion system can be reduced to simple fusion systems.

Section 1 contains a brief account of Puig's abstract notion of a fusion system and we recall in Section 2 how fusion systems occur in block theory. The following two sections introduce our notions of normal and simple fusion systems. Sections 5, 6, 7 contain simplicity results for fusion systems on dihedral 2-groups, fusion systems related to orthogonal groups and the Solomon's fusion system, respectively. Throughout this paper, p denotes a prime.

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#### 1 Background material on fusion systems

We recall basic material on Puig's axiomatisation of the local structure of blocks [15]. If P, Q, R are subgroups of a finite group G, we denote by  $\operatorname{Hom}_P(Q, R)$  the set of group homomorphisms  $\varphi: Q \to R$  for which there is  $y \in P$  satisfying  $\varphi(u) = yuy^{-1}$  for all  $u \in Q$ ; we write  $\operatorname{Aut}_P(Q) = \operatorname{Hom}_P(Q, Q)$ . Thus  $\operatorname{Aut}_P(Q)$  is canonically isomorphic to  $N_P(Q)/C_P(Q)$ ; in particular  $\operatorname{Aut}_Q(Q) \cong Q/Z(Q)$  is the group of inner automorphisms of Q.

- **Definition 1.1.** A category on a finite p-group P is a category  $\mathcal{F}$  whose objects are the subgroups of P and whose morphism sets  $\operatorname{Hom}_{\mathcal{F}}(Q,R)$  consist, for any two subgroups Q, R of P, of injective group homomorphisms with the following properties:
- (i) if Q is contained in R then the inclusion  $Q \subseteq R$  is a morphism in  $\mathcal{F}$ ;
- (ii) for any  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(Q, R)$ , the induced isomorphism  $Q \cong \varphi(Q)$  and its inverse are morphisms in  $\mathcal{F}$ ;
- (iii) composition of morphisms in  $\mathcal{F}$  is the usual composition of group homomorphisms.
- **Definition 1.2.** Let  $\mathcal{F}$  be a category on a finite p-group P. A subgroup Q of P is called fully  $\mathcal{F}$ -centralised if  $|C_P(R)| \leq |C_P(Q)|$  for any subgroup R of P such that  $R \cong Q$  in  $\mathcal{F}$ , and Q is called fully  $\mathcal{F}$ -normalised if  $|N_P(R)| \leq |N_P(Q)|$  for any subgroup R of P such that  $R \cong Q$  in  $\mathcal{F}$ .

The following definition is due to Broto, Levi and Oliver [4].

**Definition 1.3.** Let  $\mathcal{F}$  be a category on a finite p-group P, and let Q be a subgroup of P. For any morphism  $\varphi: Q \to P$  in  $\mathcal{F}$ , we set  $N_{\varphi} = \{y \in N_P(Q) | \text{ there is } z \in N_P(\varphi(Q)) \text{ such that } \varphi({}^yu) = {}^z\varphi(u) \text{ for all } u \in Q\}.$ 

In other words,  $N_{\varphi}$  is the inverse image in  $N_P(Q)$  of the group  $\operatorname{Aut}_P(Q) \cap (\varphi^{-1} \circ \operatorname{Aut}_P(\varphi(Q)) \circ \varphi)$ . Note that in particular  $QC_P(Q) \subseteq N_{\varphi} \subseteq N_P(Q)$ . Broto, Levi and Oliver use the groups  $N_{\varphi}$  in [4] to give a definition of fusion systems (called saturated fusion systems in [4]) which is equivalent to Puig's original definition (called full Frobenius systems there), which in turn has been simplified by Stancu [20]; we present here Stancu's version:

- **Definition 1.4.** A fusion system on a finite p-group P is a category  $\mathcal{F}$  on P such that  $\operatorname{Hom}_P(Q,R) \subseteq \operatorname{Hom}_{\mathcal{F}}(Q,R)$  for any two subgroups Q, R of P, and such that the following two properties hold:
- (I-S)  $\operatorname{Aut}_{P}(P)$  is a Sylow-p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(P)$ .
- (II-S) every morphism  $\varphi: Q \to P$  in  $\mathcal{F}$  such that  $\varphi(Q)$  is fully  $\mathcal{F}$ -normalised extends to a morphism  $\psi: N_{\varphi} \to P$  (that is,  $\psi|_{Q} = \varphi$ ).

The "extension axiom" (II-S) relates the role of  $N_{\varphi}$  as object of  $\mathcal{F}$  to its image  $N_{\varphi}/Q$  in  $\operatorname{Aut}_{\mathcal{F}}(Q)$ . We show in the following three Propositions that definition 1.4 is equivalent to the definition given in [4, 1.2] which uses the a priori stronger axioms

(I-BLO) if Q is a fully  $\mathcal{F}$ -normalised subgroup of P then Q is fully  $\mathcal{F}$ -centralised and  $\operatorname{Aut}_P(Q)$  is a Sylow-p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(Q)$ ;

(II-BLO) given any subgroup Q of P, every morphism  $\varphi: Q \to P$  such that  $\varphi(Q)$  is fully  $\mathcal{F}$ -centralised extends to a morphism  $\psi: N_{\varphi} \to P$  in  $\mathcal{F}$  (that is,  $\psi|_{Q} = \varphi$ ).

The Propositions 1.5 and 1.6 show that the axioms in 1.4 imply the "Sylow axiom" (I-BLO).

**Proposition 1.5.** ([20]) Let  $\mathcal{F}$  be a fusion system on a finite p-group P and let Q be a subgroup of P. If Q is fully  $\mathcal{F}$ -normalised then Q is fully  $\mathcal{F}$ -centralised.

Proof. Let  $\varphi: R \to Q$  be an isomorphism in  $\mathcal{F}$ . Assume that Q is fully  $\mathcal{F}$ -normalised and that R is fully  $\mathcal{F}$ -centralised. By (II-S) in 1.4 there is a morphism  $\psi: RC_P(R) \to P$  in  $\mathcal{F}$  such that  $\psi|_R = \varphi$ . Hence  $\psi$  maps  $C_P(R)$  to  $C_P(Q)$ , which implies that  $|C_P(R)| \leq |C_P(Q)|$ , hence equality since R is fully  $\mathcal{F}$ -centralised.  $\square$ 

**Proposition 1.6.** Let  $\mathcal{F}$  be a fusion system on a finite p-group P and let Q be a subgroup of P. Then Q is fully  $\mathcal{F}$ -normalised if and only if Q is fully  $\mathcal{F}$ -centralised and  $\operatorname{Aut}_P(Q)$  is a Sylow-p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(Q)$ .

*Proof.* Assume that Q is fully  $\mathcal{F}$ -normalised. Then Q is fully CF-centralised by 1.5. Choose Q to be of maximal order such that  $Aut_P(Q)$  is not a Sylow-p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(Q)$ . Then Q is a proper subgroup of P by 1.4.(I-S). Choose a p-subgroup S of  $\operatorname{Aut}_{\mathcal{F}}(Q)$  such that  $\operatorname{Aut}_{\mathcal{F}}(Q)$  is a proper normal subgroup of S. Let  $\varphi \in S - \operatorname{Aut}_{\mathcal{F}}(Q)$ . Since  $\varphi$  normalises  $\operatorname{Aut}_P(Q)$ , for every  $y \in N_P(Q)$  there is  $z \in N_P(Q)$  such that  $\varphi({}^{y}u) = {}^{z}\varphi(u)$  for all  $u \in Q$ . In other words,  $N_{\varphi} = N_{P}(Q)$ . Since Q is fully  $\mathcal{F}$ normalised, it follows from 1.4.(II-S) that there is an automorphism  $\psi$  of  $N_P(Q)$  in  $\mathcal{F}$  such that  $\psi|_Q = \varphi$ . Since  $\varphi$  has p-power order, by decomposing  $\psi$  into its p-part and its p'-part we may in fact assume that  $\psi$  has p-power order. Let  $\tau: N_P(Q) \to$ P be a morphism in  $\mathcal{F}$  such that  $\tau(N_P(Q))$  is fully  $\mathcal{F}$ -normalised. Now  $\tau\psi\tau^{-1}$  is a p-element in  $\operatorname{Aut}_{\mathcal{F}}(\tau(N_P(Q)))$ , thus conjugate to an element in  $\operatorname{Aut}_P(\tau(N_P(Q)))$ . Therefore we may choose  $\tau$  in such a way that there is  $y \in N_P(\tau(N_P(Q)))$  satisfying  $\tau \psi \tau^{-1}(v) = {}^y v$  for any  $v \in \tau(N_P(Q))$ . Since  $\psi|_Q = \varphi$ , the automorphism  $\tau \psi \tau^{-1}$  of  $\tau(N_P(Q))$  stabilises  $\tau(Q)$ . Thus  $y \in N_P(\tau(Q))$ . Since Q is fully  $\mathcal{F}$ -normalised we have  $N_P(\tau(Q)) \subseteq \tau(N_P(Q))$ , hence  $\psi(u) = \tau^{-1}(y)u$  for all  $u \in N_P(Q)$ . But then in particular  $\varphi \in \operatorname{Aut}_P(Q)$ , contradicting our initial choice of  $\varphi$ . The converse is easy since  $|N_P(Q)| = |\operatorname{Aut}_P(Q)| \cdot |C_P(Q)|$ .  $\square$ 

The next Proposition shows that the axioms in 1.4 imply also the "extension axiom" (II-BLO).

**Proposition 1.7.** ([20]) Let  $\mathcal{F}$  be a fusion system on a finite p-group P, let Q be a subgroup of P and let  $\varphi: Q \to P$  be a morphism in  $\mathcal{F}$  such that  $\varphi(Q)$  is fully  $\mathcal{F}$ -centralised. Then there is a morphism  $\psi: N_{\varphi} \to P$  in  $\mathcal{F}$  such that  $\psi|_{Q} = \varphi$ .

Proof. Let  $\rho: \varphi(Q) \to P$  be a morphism in  $\mathcal{F}$  such that  $R = \rho(\varphi(Q))$  is fully  $\mathcal{F}$ -normalised. Then  $\rho \circ \operatorname{Aut}_P(\varphi(Q)) \circ \rho^{-1}$  is a p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(R)$ . Moreover, by 1.6, the group  $\operatorname{Aut}_P(R)$  is a Sylow-p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(R)$ . Thus there is  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(R)$  such that  $\alpha \circ \rho \circ \operatorname{Aut}_P(\varphi(Q)) \circ \rho^{-1} \circ \alpha^{-1} \subseteq \operatorname{Aut}_P(R)$ . This means that after replacing  $\rho$  by  $\alpha \circ \rho$ , we may assume that  $N_{\rho} = N_P(\varphi(Q))$ . In particular,  $\rho$  extends to a morphism  $\sigma: N_P(\varphi(Q)) \to P$ . But then  $N_{\varphi} \subseteq N_{\rho \circ \varphi}$ , hence  $\rho \circ \varphi$  extends to a morphism  $\tau: N_{\varphi} \to P$ . Then  $\tau(N_{\varphi}) \subseteq \sigma(N_P(\varphi(Q)))$ , and hence we get a morphism  $\sigma^{-1}|_{\tau(N_{\varphi})} \circ \tau: N_{\varphi} \to P$  which extends  $\varphi$  as required.  $\square$ 

**Definition 1.8.** Let  $\mathcal{F}$  be a fusion system on a finite p-group P and let Q be a subgroup of P.

- (i) Q is  $\mathcal{F}$ -centric if  $C_P(R) = Z(R)$  for any subgroup R of P such that  $R \cong Q$  in  $\mathcal{F}$ .
- (ii) Q is  $\mathcal{F}$ -radical if  $O_p(\operatorname{Aut}_{\mathcal{F}}(Q)/\operatorname{Aut}_Q(Q)) = 1$ .
- (iii) Q is  $\mathcal{F}$ -essential if Q is  $\mathcal{F}$ -centric,  $Q \neq P$ , and  $\operatorname{Aut}_{\mathcal{F}}(Q)/\operatorname{Aut}_{Q}(Q)$  has a strongly p-embedded proper subgroup M (that is, M contains a Sylow-p-subgroup S of  $\operatorname{Aut}_{\mathcal{F}}(Q)/\operatorname{Aut}_{Q}(Q)$  such that  ${}^{\varphi}S \cap S = \{1\}$  for every  $\varphi \in \operatorname{Aut}_{\mathcal{F}}(Q)/\operatorname{Aut}_{Q}(Q) M$ ).
- (iv) Q is weakly  $\mathcal{F}$ -closed if for every morphism  $\varphi: Q \to P$  in  $\mathcal{F}$  we have  $\varphi(Q) = Q$ .
- (v) Q is strongly  $\mathcal{F}$ -closed, if for any subgroup R of P and any morphism  $\varphi: R \to P$  in  $\mathcal{F}$  we have  $\varphi(R \cap Q) \subseteq Q$ .

If Q is  $\mathcal{F}$ -centric, then Q is fully  $\mathcal{F}$ -centralised, and if Q is  $\mathcal{F}$ -essential, then Q is  $\mathcal{F}$ -radical. If Q is strongly  $\mathcal{F}$ -closed then Q is weakly  $\mathcal{F}$ -closed. One easily checks that if Q is strongly  $\mathcal{F}$ -closed then for any subgroup R of P and any morphism  $\varphi: R \to P$  in  $\mathcal{F}$  we have in fact  $\varphi(R \cap Q) = \varphi(R) \cap Q$ . Indeed, the left side is contained in the right side by the above definition, and the other inclusion is obtained by applying this inclusion to  $\varphi(R)$  and the morphism  $\varphi^{-1}$  viewed as morphism from  $\varphi(R)$  to P.

**Definition 1.9** Let  $\mathcal{F}$  be a category on a finite p-group P, and let Q be a subgroup of P. We define the category  $N_{\mathcal{F}}(Q)$  on  $N_P(Q)$  by  $\operatorname{Hom}_{N_{\mathcal{F}}(Q)}(R,R') = \{\varphi : R \to R' | \varphi \text{ extends to a morphism } \psi : QR \to QR' \text{ in } \mathcal{F} \text{ such that } \psi(Q) = Q\}$ , for any two subgroups R, R' of  $N_P(Q)$ . Similarly, we define the category  $C_{\mathcal{F}}(Q)$  on  $C_P(Q)$  by  $\operatorname{Hom}_{C_{\mathcal{F}}(Q)}(R,R') = \{\varphi : R \to R' | \varphi \text{ extends to a morphism } \psi : QR \to QR' \text{ in } \mathcal{F} \text{ such that } \psi|_Q = \operatorname{Id}_Q\}$ .

We have clearly inclusions of categories  $C_{\mathcal{F}}(Q) \subseteq N_{\mathcal{F}}(Q) \subseteq \mathcal{F}$ . If  $\mathcal{F} = N_{\mathcal{F}}(Q)$  for some subgroup Q of P, then clearly Q is strongly  $\mathcal{F}$ -closed. The converse of this statement is not true, in general. If  $\mathcal{F}$  is a fusion system on P such that  $\mathcal{F} = C_{\mathcal{F}}(Z)$  for some (necessarily central) subgroup Z of P then the category on P/Z induced by  $\mathcal{F}$  is a fusion system on P/Z, denoted by  $\mathcal{F}/Z$ . In that case, if  $\mathcal{F}'$  is a fusion system on P contained in  $\mathcal{F}$  we have  $\mathcal{F}' = \mathcal{F}$  if and only if  $\mathcal{F}'/Z = \mathcal{F}/Z$ ; this follows from

Alperin's fusion theorem 1.11 below together with the fact that if Q is a subgroup of P then the canonical map  $\operatorname{Aut}_{\mathcal{F}}(Q) \to \operatorname{Aut}_{\mathcal{F}/Z}(Q/Z)$  has a p-group as kernel because any p'-automorphism of Q/Z lifts to a p'-automorphism of Q.

**Proposition 1.10.** ([15]) Let  $\mathcal{F}$  be a fusion system on a finite p-group P, and let Q be a subgroup of P. If Q is fully  $\mathcal{F}$ -centralised, then  $C_{\mathcal{F}}(Q)$  is a fusion system on  $C_P(Q)$ ; if Q is fully normalised, then  $N_{\mathcal{F}}(Q)$  is a fusion system on  $N_P(Q)$ .

A proof of this Proposition can be found in [4, A6] (applied to the cases where the group K occurring in the statement of [4, A6] is either trivial or equal to Aut(Q)). By the previous remarks, Proposition 1.10 implies that if Q is fully  $\mathcal{F}$ -centralised then  $C_{\mathcal{F}}(Q)/Z(Q)$  is a fusion system on  $C_P(Q)/Z(Q)$ . The following result is Alperin's fusion theorem [1], refined by Goldschmidt [8], and extended to arbitrary fusion systems by Puig [15].

**Theorem 1.11.** Let  $\mathcal{F}$  be a fusion system on a finite p-group P. Every isomorphism in  $\mathcal{F}$  can be written as a composite of finitely many isomorphisms  $\varphi : Q \cong R$  in  $\mathcal{F}$  such that either  $\varphi = \alpha|_Q$  for some  $\alpha \in \operatorname{Aut}_{\mathcal{F}}(P)$  or there is an  $\mathcal{F}$ -essential subgroup E of P containing both Q, R, and an automorphism  $\beta \in \operatorname{Aut}_{\mathcal{F}}(E)$  such that  $\varphi = \beta|_Q$ .

**Lemma 1.12.** ([15]) Let  $\mathcal{F}$  be a fusion system on a finite p-group P. Let Q, R be  $\mathcal{F}$ -centric subgroups of P such that  $Q \subseteq R$ , and let  $\varphi \in \operatorname{Aut}_{\mathcal{F}}(R)$ . We have  $\varphi|_Q = \operatorname{Id}_Q$  if and only if  $\varphi \in \operatorname{Aut}_{\mathcal{Z}(Q)}(R)$ .

Proof. Assume that  $\varphi|_Q = \operatorname{Id}_Q$ . We proceed by induction over [R:Q]. Consider first the case where Q is normal in R. Let  $u \in Q$  and  $v \in R$ . Then  ${}^vu \in Q$ , hence  ${}^vu = \varphi({}^vu) = {}^{\varphi(v)}u$ , and thus  $v^{-1}\varphi(v) \in C_R(Q) = Z(Q)$ , or equivalently,  $\varphi(v) = vz$  for some  $z \in Z(Q)$ . If  $\varphi$  has order prime to p in  $\operatorname{Aut}(R)$  this forces  $\varphi = \operatorname{Id}_R$ . Therefore we may assume that the order of  $\varphi$  is a power of p. Upon replacing R by a fully  $\mathcal{F}$ -normalised  $\mathcal{F}$ -conjugate we may assume that  $\varphi \in \operatorname{Aut}_{P}(R)$ . Since  $\varphi$  restricts to  $\operatorname{Id}_Q$  and since Q is  $\mathcal{F}$ -centric this implies that  $\varphi \in \operatorname{Aut}_{Z(Q)}(R)$ . This proves 1.12 if Q is normal in R. In general, if  $\varphi|_Q = \operatorname{Id}_Q$  then  $\varphi(N_R(Q)) = N_R(Q)$ . Thus  $\varphi|_{N_R(Q)} \in \operatorname{Aut}_{Z(Q)}(N_R(Q))$  by the previous paragraph. Hence there is  $z \in Z(Q)$  such that  $c_z \circ \varphi|_{N_R(Q)} = \operatorname{Id}_{N_R(Q)}$ , where  $c_z$  is the automorphism of R given by conjugation with z. By induction we get  $c_z \circ \varphi \in \operatorname{Aut}_{Z(N_R(Q))}(Q)$ . As all involved groups are  $\mathcal{F}$ -centric we have  $Z(N_R(Q)) \subseteq Z(Q)$ , and thus  $\varphi \in \operatorname{Aut}_{Z(Q)}(R)$  as claimed. The converse is trivial.  $\square$ 

**Lemma 1.13.** Let  $\mathcal{F}$  be a fusion system on a finite p-group P, let Q, R be  $\mathcal{F}$ -centric subgroups of P such that  $Q \subseteq R$ , and let  $\varphi$ ,  $\varphi' \in \operatorname{Hom}_{\mathcal{F}}(R,P)$  such that  $\varphi|_{Q} = \varphi'|_{Q}$ . Then  $\varphi(R) = \varphi'(R)$ .

Proof. Let  $v \in N_R(Q)$ . For every  $u \in Q$  we have  $\varphi(vu) = \varphi'(vu)$ , hence  $\varphi(v)^{-1}\varphi'(v) \in C_P(\varphi(Q)) = Z(\varphi(Q))$ . It follows that  $\varphi(N_R(Q)) = \varphi'(N_R(Q))$ . By 1.12,  $\varphi|_{N_R(Q)}$  and

 $\varphi'_{N_R(Q)}$  differ by conjugation with an element in Z(Q), and we may therefore assume that their restrictions to  $N_R(Q)$  actually coincide. The equality  $\varphi(R) = \varphi'(R)$  follows by induction.  $\square$ 

Given a fusion system  $\mathcal{F}$  on a finite p-group P, we denote by  $\mathcal{F}^c$  the full subcategory of  $\mathcal{F}$ -centric subgroups of P; we denote by  $\bar{\mathcal{F}}$  the orbit category of  $\mathcal{F}$ , which has the same objects as  $\mathcal{F}$  but whose sets of morphisms are the quotient sets  $\operatorname{Hom}_{\bar{\mathcal{F}}}(Q,R) = \operatorname{Aut}_R(R) \backslash \operatorname{Hom}_{\mathcal{F}}(Q,R)$  of morphisms in  $\mathcal{F}$  modulo inner automorphisms of the corresponding subgroups of P. We denote by  $\bar{\mathcal{F}}^c$  the image in  $\bar{\mathcal{F}}$  of  $\mathcal{F}^c$ . The category  $\mathcal{F}$  has the property that every morphism is a monomorphism, and every endomorphism is an automorphism. The orbit category  $\bar{\mathcal{F}}$  has still the property that every endomorphism is an automorphism, but not every morphism is a monomorphism, in general. As observed in [14] in the context of fusion systems of finite groups, the straightforward consequence of 1.12 is that in the opposite category  $(\bar{\mathcal{F}}^c)^0$  every morphism is a monomorphism, or equivalently:

**Proposition 1.14.** Let  $\mathcal{F}$  be a fusion system on a finite p-group P. Every morphism in the category  $\bar{\mathcal{F}}^c$  is an epimorphism.

Proof. Let Q, R, S be  $\mathcal{F}$ -centric subgroups of P, let  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(Q,R)$  and let  $\psi, \psi' \in \operatorname{Hom}_{\mathcal{F}}(R,S)$ . Assume that the images of  $\psi \circ \varphi$  and  $\psi' \circ \varphi$  in  $\operatorname{Hom}_{\bar{\mathcal{F}}^c}(Q,S)$  coincide. Up to replacing  $\psi'$  by some S-conjugate, we may assume that  $\psi \circ \varphi = \psi' \circ \varphi$ . Thus the restrictions to  $\varphi(Q)$  of  $\psi$ ,  $\psi'$  coincide. It follows from 1.13 that  $\psi(R) = \psi'(R)$ . Thus  $\psi^{-1} \circ \psi'$  is an automorphism of R which restricts to the identity on  $\varphi(Q)$ , hence  $\psi^{-1} \circ \psi' \in \operatorname{Aut}_{Z(\varphi(Q))}(R)$  by 1.12. Thus the images of  $\psi$ ,  $\psi'$  in the orbit category are equal.  $\square$ 

# 2 Fusion systems of finite groups and p-blocks

For expository purpose, we describe in this section briefly the well-known examples which motivate Puig's definition of a fusion system.

**Definition 2.1** Let G be a finite group, and let P be a Sylow-p-subgroup of G. We denote by  $\mathcal{F}_P(G)$  the category on P whose morphisms are the group homomorphisms  $\varphi: Q \to R$  for which there is an element  $x \in G$  such that  $\varphi(u) = xux^{-1}$  for all  $u \in Q$ .

Equivalently,  $\operatorname{Hom}_{\mathcal{F}_P(G)}(Q,R) = \operatorname{Hom}_G(Q,R)$ ; in particular,  $\operatorname{Aut}_{\mathcal{F}_P(G)}(Q) = \operatorname{Aut}_G(Q) \cong N_G(Q)/C_G(Q)$ . We leave the elementary proof of the following well-known statement to the reader.

**Theorem 2.2.** Let G be a finite group, and let P be a Sylow-p-subgroup of G.

- (i) The category  $\mathcal{F}_P(G)$  is a fusion system on P.
- (ii) A subgroup Q of P is fully  $\mathcal{F}_P(G)$ -centralised if and only if  $C_P(Q)$  is a Sylow-p-subgroup of  $C_G(Q)$ .

(iii) A subgroup Q of P is fully  $\mathcal{F}_P(G)$ -normalised if and only if  $N_P(Q)$  is a Sylow-p-subgroup of  $N_G(Q)$ .

Following Alperin-Broué [2], there is a fusion system on a defect group of a p-block of a finite group which generalises the definition of  $\mathcal{F}_P(G)$  above in the sense, that it coincides with  $\mathcal{F}_P(G)$  if the considered block is the principal p-block of G. In order to describe this briefly, let k be a field of characteristic p, let G be a finite group, and let p be a block of p consisting of a p-subgroup p of p and a block p of p such that p definitely consisting of a p-subgroup p of p and a block p of p such that p definition of p def

**Definition 2.3.** Let G be a finite group, let b be a block of kG, and let (P,e) be a maximal b-Brauer pair. For any subgroup Q of P, denote by  $e_Q$  the unique block of  $kC_G(Q)$  such that  $(Q, e_Q) \subseteq (P, e)$ . We denote by  $\mathcal{F}_{(P,e)}(G,b)$  the category on P whose morphisms are the group homomorphisms  $\varphi: Q \to R$  for which there is an element  $x \in G$  such that  $\varphi(u) = xux^{-1}$  for all  $u \in Q$  such that  $xe_Qx^{-1} = e_{xQx^{-1}}$ , or equivalently, such that  $xe_Q(Q) \subseteq (R, e_R)$ , where Q, R are subgroups of P.

If S is a Sylow-p-subgroup of G containing the defect group P of b, then clearly  $\mathcal{F}_{(P,e)}(G,b)$  is a subcategory of  $\mathcal{F}_S(G)$ , but it is not in general a full subcategory, because the elements x in G used to define the morphisms in  $\mathcal{F}_{(P,e)}(G,b)$  have to fulfill the additional compatibility property  $^x(Q,e_Q)\subseteq (R,e_R)$ . If b is the principal block of kG (that is, b is the unique block of kG not contained in the augmentation ideal of kG), then P is a Sylow-p-subgroup of G and  $e_Q$  is the principal block of  $kC_G(Q)$  for any subgroup Q of P, and hence  $\mathcal{F}_{(P,e)}(G,b)=\mathcal{F}_P(G)$  in this case. The following statement, which generalises 2.2, is essentially a reformulation of results in [2]; we sketch a proof for the convenience of the reader:

**Theorem 2.4.** Let G be a finite group, let b be a block of kG, and let (P,e) be a maximal b-Brauer pair. For every subgroup Q of P, denote by  $e_Q$  the unique block of  $kC_G(Q)$  such that  $(Q, e_Q) \subseteq (P, e)$ .

- (i) The category  $\mathcal{F}_{(P,e)}(G,b)$  is a fusion system on P.
- (ii) A subgroup Q of P is fully  $\mathcal{F}_{(P,e)}(G,b)$ -centralised if and only if  $C_P(Q)$  is a defect group of  $kC_G(Q)e_Q$ .
- (iii) A subgroup Q of P is fully  $\mathcal{F}_{(P,e)}(G,b)$ -normalised if and only if  $N_P(Q)$  is a defect group of  $kN_G(Q,e_Q)e_Q$ .

Note that the last statement makes sense, as  $e_Q$  remains a block for the group  $N_G(Q, e_Q)$  by [2, (2.9)]. The automorphism group in  $\mathcal{F}_{(P,e)}(G, b)$  of a subgroup Q of P is isomorphic to  $N_G(Q, e_Q)/C_G(Q)$ . Thanks to the preceding Theorem, we can apply Alperin's fusion thereom to the fusion system  $\mathcal{F}_{(P,e)}(G,b)$ , which implies in particular, that  $\mathcal{F}_{(P,e)}(G,b)$  is completely determined by the automorphism groups  $N_G(Q,e_Q)/C_G(Q)$  for the  $\mathcal{F}_{(P,e)}(G,b)$ -essential subgroups Q of P. Specialising Theorem 2.4 to the case where b is the principal block of kG yields Theorem 2.2.

Proof of Theorem 2.4. We prove first (ii) and (iii). By [12, 7.6], for every subgroup Q of P the group  $C_P(Q)$  is contained in a defect group of  $e_Q$  as block of  $kC_G(Q)$ , and there is  $x \in G$  such that  $^x(Q, e_Q) \subseteq (P, e)$  and such that  $C_P(^xQ)$  is a defect group of  $^xe_Q$  as block of  $kC_G(^xQ)$ . From this follows (ii). By [2, (2.9)],  $e_Q$  remains a block of  $kN_G(Q, e_Q)$ . As before,  $N_P(Q)$  is contained in a defect group of  $e_Q$  as block of  $kN_G(Q, e_Q)$ , and there is  $x \in G$  such that  $^x(Q, e_Q) \subseteq (P, e)$  and such that  $N_P(^xQ)$  is a defect group of  $^xe_Q$  as block of  $kN_G(^x(Q, e_Q))$ . This proves (iii).

In order to see (i), observe first that  $\mathcal{F}_{(P,e)}(G,b)$  is clearly a category on P in the sense of 1.1. By Brauer's First Main Theorem [23, (40.14)], the group  $N_G(P,e)/PC_G(P)$  is a p'-group (called inertial quotient of b), and hence the group  $\operatorname{Aut}_{\mathcal{F}_{(P,e)}(G,b)}(P) \cong N_G(P,e)/C_G(P)$  has  $\operatorname{Aut}_P(P)$  as Sylow-p-subgroup. In particular, the Sylow axiom (I-S) holds. It remains to verify that  $\mathcal{F}_{(P,e)}(G,b)$  has also the property (II-S). Let Q, R be subgroups of P such that  $N_P(R)$  is a defect group of  $e_R$  as block of  $kN_G(R,e_R)$ , and let  $x \in G$  such that  $x(Q,e_Q) = (R,e_R)$ . Denote by  $\varphi: Q \to P$  the morphism in  $\mathcal{F}_{(P,e)}(G,b)$  defined by  $\varphi(u) = {}^xu$  for all  $u \in Q$ . Then  $N_{\varphi} = \{ y \in N_P(Q) \mid \text{ there is } z \in N_P(R) \text{ such that } xyu = zxu \text{ for all } u \in Q \}.$ Thus  ${}^xN_{\varphi}\subseteq N_P(R)C_G(R)$ . Since R is fully  $\mathcal{F}_{(P,e)}(G,b)$ -normalised,  $N_P(R)$  is a defect group of  $e_R$  viewed as block of  $kN_G(R,e_R)$  by (ii), and hence  $N_P(R)$  is still a defect group of  $e_R$  viewed as block of  $N_P(R)C_G(R)$ . Therefore  $(N_P(R), e_{N_P(R)})$  is a maximal  $(N_P(R)C_G(R), e_R)$ -Brauer pair (cf. [23, (40.15)]) and contains hence a  $C_G(R)$ -conjugate of every other  $(N_P(R)C_G(R), e_R)$ -Brauer pair (cf. [2, 3.10]). Thus there is  $c \in C_G(R)$  such that  $^{cx}(N_{\varphi}, e_{N_{\varphi}}) \subseteq (N_P(R), e_{N_P(R)})$ . Hence  $\psi : N_{\varphi} \to P$ defined by  $\psi(n) = {}^{cx}n$  for all  $n \in N_{\varphi}$  is a morphism in  $\mathcal{F}_{(P,e)}(G,b)$  which extends  $\varphi$ .

For future reference we include another obvious reformulation of some results in [2].

**Proposition 2.5.** Let G be a finite group, let b be a block of kG, and let (P, e) be a maximal b-Brauer pair. For every subgroup Q of P, denote by  $e_Q$  the unique block of  $kC_G(Q)$  such that  $(Q, e_Q) \subseteq (P, e)$ . Set  $\mathcal{F} = \mathcal{F}_{(P, e)}(G, b)$ .

- (i) If Q is a fully  $\mathcal{F}$ -centralised subgroup of P then  $(C_P(Q), e_{QC_P(Q)})$  is a maximal  $(C_G(Q), e_Q)$ -Brauer pair and we have  $\mathcal{F}_{(C_P(Q), e_{QC_P(Q)})}(C_G(Q), e_Q) = C_{\mathcal{F}}(Q)$ .
- (ii) If Q is a fully  $\mathcal{F}$ -normalised subgroup of P then  $(N_P(Q), e_{N_P(Q)})$  is a maximal  $(N_G(Q, e_Q), e_Q)$ -Brauer pair and we have  $\mathcal{F}_{(N_P(Q), e_{N_P(Q)})}(N_G(Q, e_Q), e_Q) = N_{\mathcal{F}}(Q)$ .

- Proof. (i) Suppose that Q is fully  $\mathcal{F}$ -centralised. By 2.4.(ii),  $C_P(Q)$  is a defect group of  $e_Q$  as block of  $C_G(Q)$ . We have  $C_{C_G(Q)}(C_P(Q)) = C_G(QC_P(Q))$ , hence  $(C_P(Q), e_{QC_P(Q)})$  is a maximal  $(C_G(Q), e_Q)$ -Brauer pair. Similarly, for any subgroup R of  $C_P(Q)$ , the pair  $(R, e_{QR})$  is a  $(C_G(Q), e_Q)$ -Brauer pair contained in  $(C_P(Q), e_{QC_P(Q)})$ . If R, S are subgroups of  $C_P(Q)$  and  $x \in C_G(Q)$  such that  ${}^x(R, e_{QR}) \subseteq (S, e_{QS})$  then the group homomorphism from R to S induced by conjugation with S extends to a group homomorphism from S to S which is the identity on S. Statement (i) follows.
- (ii) Suppose that Q is fully  $\mathcal{F}$ -normalised. By 2.4.(iii),  $N_P(Q)$  is a defect group of  $e_Q$  as block of  $N_G(Q, e_Q)$ . We have  $C_{N_G(Q)}(C_P(Q)) = C_G(N_P(Q))$ , hence  $(N_P(Q), e_{N_P(Q)})$  is a maximal  $(N_G(Q, e_Q), e_Q)$ -Brauer pair. Similarly, for any subgroup R of  $N_P(Q)$ , the pair  $(R, e_{QR})$  is a  $(N_G(Q, e_Q), e_Q)$ -Brauer pair contained in  $(N_P(Q), e_{N_P(Q)})$ . If R, S are subgroups of  $N_P(Q)$  and  $x \in N_G(Q, e_Q)$  such that  ${}^x(R, e_{QR}) \subseteq (S, e_{QS})$  then the group homomorphism from R to S induced by conjugation with S extends to a group homomorphism from S to S which restricts to an automorphism of S in S in S in S in S in S in a automorphism of S in S in

#### 3 Normal Fusion Systems

**Definition 3.1** Let  $\mathcal{F}$  be a category on a finite p-group P, and let  $\mathcal{F}'$  be a category on a subgroup P' of P. We say that  $\mathcal{F}$  normalises  $\mathcal{F}'$  if P' is strongly  $\mathcal{F}$ -closed and if for every isomorphism  $\varphi: Q \to Q'$  in  $\mathcal{F}$  and any two subgroups R, R' of  $Q \cap P'$  we have

$$\varphi \circ \operatorname{Hom}_{\mathcal{F}'}(R, R') \circ \varphi^{-1}|_{\varphi(R)} \subseteq \operatorname{Hom}_{\mathcal{F}'}(\varphi(R), \varphi(R'))$$
.

We say that  $\mathcal{F}'$  is normal in  $\mathcal{F}$  and write  $\mathcal{F}' \subseteq \mathcal{F}$  if  $\mathcal{F}'$  is contained in  $\mathcal{F}$  and  $\mathcal{F}$  normalises  $\mathcal{F}'$ .

In other words,  $\mathcal{F}$  normalises  $\mathcal{F}'$  if for any isomorphism  $\varphi: Q \to Q'$  in  $\mathcal{F}$  and any morphism  $\psi: R \to R'$  in  $\mathcal{F}'$  such that  $\langle R, R' \rangle \subseteq Q$ , we have  $\langle \varphi(R), \varphi(R') \rangle \subseteq P'$  and the induced morphism  $\varphi \circ \psi \circ \varphi^{-1}: \varphi(R) \to \varphi(R')$  is a morphism in  $\mathcal{F}'$ . Note that this implies that we have in fact an equality

$$\varphi \circ \operatorname{Hom}_{\mathcal{F}'}(R, R') \circ \varphi^{-1}|_{\varphi(R)} = \operatorname{Hom}_{\mathcal{F}'}(\varphi(R), \varphi(R'))$$
.

Indeed, the left side is contained in the right side by the definition, and the other inclusion follows from applying this inclusion to  $\varphi^{-1}$ ,  $\varphi(R)$ ,  $\varphi(R')$  instead of  $\varphi$ , R, R', respectively. Applied to R = R' and  $S = \varphi(R)$  and making use of Alperin's fusion theorem this implies in particular that if R, S are subgroups of P' which are isomorphic in  $\mathcal{F}$  then  $\operatorname{Aut}_{\mathcal{F}'}(R) \cong \operatorname{Aut}_{\mathcal{F}'}(S)$ .

The unique category on the trivial subgroup  $\{1\}$  of P is a fusion system which is normal in any fusion system  $\mathcal{F}$  on P. The obvious motivating example for the definition of normal fusion systems is this:

**Proposition 3.2.** Let G be a finite group, let P be a Sylow-p-subgroup of G, and let N be a normal subgroup of G. We have  $\mathcal{F}_{P\cap N}(N) \subseteq \mathcal{F}_P(G)$ .

*Proof.* Trivial.  $\square$ 

**Proposition 3.3.** Let  $\mathcal{F}$  be a fusion system on a finite p-group P. Then  $\mathcal{F}_P(P)$  is normal in  $\mathcal{F}$  if and only if  $\mathcal{F} = N_{\mathcal{F}}(P)$ .

Proof. Suppose that  $\mathcal{F}_P(P) \subseteq \mathcal{F}$ . Then in particular for any morphism  $\varphi : R \to P$  in  $\mathcal{F}$  and any  $u \in N_P(R)$  there is  $v \in N_P(\varphi(R))$  such that  $\varphi({}^u r) = {}^v \varphi(r)$  for all  $r \in R$ . Whenever  $\varphi(R)$  is fully  $\mathcal{F}$ -centralised,  $\varphi$  extends to a morphism  $\psi : N_P(R) \to P$  in  $\mathcal{F}$ . In particular, this holds if R, and hence  $\varphi(R)$ , are  $\mathcal{F}$ -centric. But then also  $N_P(R)$  and  $\psi(N_P(R))$  are  $\mathcal{F}$ -centric. Inductively, it follows that  $\varphi$  can be extended to an automorphism of P belonging to  $\mathcal{F}$ . Thus, by Alperin's fusion theorem, we get  $\mathcal{F} = N_{\mathcal{F}}(P)$ . The converse is easy.  $\square$ 

In fact, Proposition 3.3 remains true with P replaced by any subgroup of P (cf. [21, 6.2] or [13, Corollary 2]).

**Proposition 3.4.** Let  $\mathcal{F}$  be a fusion system on a finite p-group P. If Q is a strongly  $\mathcal{F}$ -closed abelian subgroup of P then  $\mathcal{F}_Q(Q)$  is normal in  $\mathcal{F}$ .

*Proof.* Since Q is abelian, the only morphisms in  $\mathcal{F}_Q(Q)$  are inclusions  $R \subseteq R'$  of subgroups R, R' of Q. Since Q is strongly  $\mathcal{F}$ -closed, the result follows.  $\square$ 

**Proposition 3.5.** Let  $\mathcal{F}$ ,  $\mathcal{F}'$  be fusion systems on a finite p-group P such that  $\mathcal{F}'$  is normal in  $\mathcal{F}$ . Then for every subgroup Q of P the index  $[\operatorname{Aut}_{\mathcal{F}}(Q) : \operatorname{Aut}_{\mathcal{F}'}(Q)]$  is prime to p.

Proof. Let Q be a subgroup of P, and let  $\varphi: Q \to R$  be an isomorphism in  $\mathcal{F}$  such that the subgroup R of P is fully  $\mathcal{F}$ -normalised. Then  $\operatorname{Aut}_P(R)$  is a Sylow-p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(R)$  by 1.5, and  $\operatorname{Aut}_P(Q) \subseteq \operatorname{Aut}_{\mathcal{F}'}(R)$ . Since  $\mathcal{F}'$  is normal in  $\mathcal{F}$ , it follows that the Sylow-p-subgroup  $\varphi^{-1} \circ \operatorname{Aut}_P(R) \circ \varphi$  of  $\operatorname{Aut}_{\mathcal{F}}(Q)$  is contained in  $\operatorname{Aut}_{\mathcal{F}'}(Q)$ . Thus the index of  $\operatorname{Aut}_{\mathcal{F}'}(Q)$  in  $\operatorname{Aut}_{\mathcal{F}}(Q)$  is prime to p.  $\square$ 

**Remark 3.6.** Proposition 3.5 is not true, in general, without the assumption that  $\mathcal{F}'$  is normal in  $\mathcal{F}$ . Consider the case of a fusion system  $\mathcal{F}$  on P such that there is a subgroup Q of P which is fully  $\mathcal{F}$ -centralised but not fully  $\mathcal{F}$ -normalised, and set  $\mathcal{F}' = \mathcal{F}_P(P)$ . Then  $\operatorname{Aut}_P(Q) = \operatorname{Aut}_{\mathcal{F}'}(Q)$  is not a Sylow-p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(Q)$ . The following is an example for this situation.

**Example 3.7.** Let  $G = S_8$  be the symmetric group on eight letters, set  $E_1 = < (15)(26)(37)(48) >$ ,  $E_2 = < (13)(24), (57)(68) >$ ,  $E_4 = < (12), (34), (56), (78) >$ . Then  $P = (E_4 \rtimes E_2) \rtimes E_1$  is a Sylow-2-subgroup of G. Set  $\mathcal{F} = \mathcal{F}_P(G)$ . The subgroup  $E_4$  of P is  $\mathcal{F}$ -centric, hence  $Q = E_4 \rtimes < (13)(24)(57)(68) >$  and  $R = E_4 \rtimes E_1$  are  $\mathcal{F}$ -centric as well. Conjugating Q by (35)(46) yields R, hence  $Q \cong R$  in  $\mathcal{F}$ . Clearly Q is

normal in P; in particular, Q is fully  $\mathcal{F}$ -normalised. Conjugating  $(15)(26)(37)(48) \in R$  by  $(13)(24) \in E_2$  yields (17)(28)(35)(46). This is not an element in R since 7 does not belong to the R-orbit of 1 (which is equal to  $\{1, 2, 5, 6\}$ ). Thus R is not normal in P, and hence R is not fully  $\mathcal{F}$ -normalised.

#### 4 SIMPLE FUSION SYSTEMS

**Definition 4.1** A fusion system  $\mathcal{F}$  on a non trivial finite p-group P is called simple if  $\mathcal{F}$  has no proper non trivial normal fusion subsystem.

In view of work of Broto, Levi, Oliver [4] - introducing p-local finite groups as a generalisation of classifying spaces associated with fusion systems - we extend this terminology in the obvious way: a p-local finite group is called simple if its underlying fusion system is simple. In order to avoid confusion we point out that this definition is different from previous similar definitions such as fusion-simple groups (in a group theoretic context) or the notion of simple fusion systems introduced in [15].

Certainly the fusion system  $\mathcal{F}_P(G)$  of a finite simple group G (with Sylow-p-subgroup P) does not have to be simple, but conversely, if a simple fusion system  $\mathcal{F}$  on a finite p-group P is equal to  $\mathcal{F}_P(G)$  for some finite group G containing P as Sylow-p-subgroup, then G can be chosen to be simple:

**Proposition 4.2.** Let  $\mathcal{F}$  be a simple fusion system on some finite p-group P. Suppose that  $\mathcal{F} = \mathcal{F}_P(G)$  for some finite group G having P as Sylow-p-subgroup. If  $O_{p'}(G) = 1$  and if  $\mathcal{F}_P(H) \neq \mathcal{F}_P(G)$  for any proper subgroup H of G containing P, then G is simple. In particular, if G has minimal order such that P is a Sylow-p-subgroup of G and such that  $\mathcal{F} = \mathcal{F}_P(G)$ , then G is simple.

Proof. Suppose that  $O_{p'}(G) = 1$  and that  $\mathcal{F}_P(H) \neq \mathcal{F}_P(G)$  for any proper subgroup H of G containing P. Let N be a non-trivial normal subgroup of G. Then  $N \cap P$  is a Sylow-p-subgroup of N, and  $\mathcal{F}_{N\cap P}(N)$  is a normal fusion system in  $\mathcal{F}_P(G)$ . As  $O_{p'}(G) = 1$ , we have  $N \cap P \neq 1$ . As  $\mathcal{F}_P(G)$  is simple, this forces  $P \subseteq N$  and  $\mathcal{F}_P(N) = \mathcal{F}_P(G)$ , hence N = G by the assumptions. Let now G be a finite group of minimal order such that P is a Sylow-p-subgroup of G and such that  $\mathcal{F} = \mathcal{F}_P(G)$ . Then  $O_{p'}(G) = 1$ , because the canonical map  $G \to G/O_{p'}(G)$  induces an isomorphism of fusion systems. By the minimality of G, we have  $\mathcal{F}_P(H) \neq \mathcal{F}_P(G)$  for any proper subgroup H of G containing P. Thus the second statement follows from the first.  $\square$ 

**Proposition 4.3.** Let P be a finite p-group. Then  $\mathcal{F}_P(P)$  is simple if and only if P is cyclic of order p.

*Proof.* By 3.4, for every subgroup Z of Z(P) we have  $\mathcal{F}_Z(Z) \leq \mathcal{F}_P(P)$ , from which the statement follows.  $\square$ 

**Proposition 4.4.** Let P be a finite abelian p-group and let  $\mathcal{F}$  be a fusion system on P. Then  $\mathcal{F}$  is simple if and only if P has order p and  $\mathcal{F} = \mathcal{F}_P(P)$ .

*Proof.* If  $\mathcal{F}$  is simple, then  $\mathcal{F} = \mathcal{F}_P(P)$  by 3.4, and hence |P| = p by 4.3. The converse is clear.  $\square$ 

The following Proposition is due to the referee and has greatly simplified the original version of this paper.

**Proposition 4.5.** Let  $\mathcal{F}, \mathcal{F}'$  be fusion systems on a finite p-group P such that  $\mathcal{F}' \subseteq \mathcal{F}$  and such that  $\operatorname{Aut}_{\mathcal{F}}(P) = \operatorname{Aut}_{\mathcal{F}'}(P)$ . Then  $\mathcal{F}' = \mathcal{F}$ .

Proof. Suppose that  $\mathcal{F}' \neq \mathcal{F}$ . Let Q be a subgroup of maximal order such that  $\operatorname{Aut}_{\mathcal{F}'}(Q) \neq \operatorname{Aut}_{\mathcal{F}}(Q)$ . By the assumptions, Q is a proper subgroup of P. Since  $\mathcal{F}'$  is normal in  $\mathcal{F}$  we may assume that Q is fully  $\mathcal{F}$ -normalised. Then  $\operatorname{Aut}_P(Q)$  is a Sylow-p-subgroup of  $\operatorname{Aut}_{\mathcal{F}}(Q)$ . Moreover,  $\operatorname{Aut}_{\mathcal{F}'}(Q)$  is a normal subgroup of  $\operatorname{Aut}_{\mathcal{F}}(Q)$  containing  $\operatorname{Aut}_P(Q)$ , and hence, by the Frattini argument, we have  $\operatorname{Aut}_{\mathcal{F}}(Q) = N_{\operatorname{Aut}_{\mathcal{F}}(Q)}(\operatorname{Aut}_P(Q))\operatorname{Aut}_{\mathcal{F}'}(Q)$ . By the extension axiom (II-S) in 1.4 every automorphism of Q in  $N_{\operatorname{Aut}_{\mathcal{F}}(Q)}(\operatorname{Aut}_P(Q))$  extends to an automorphism of  $N_P(Q)$  in  $\mathcal{F}$ , hence in  $\mathcal{F}'$  by the maximality assumption on Q. This in turn implies that  $N_{\operatorname{Aut}_{\mathcal{F}}(Q)}(\operatorname{Aut}_P(Q)) \subseteq \operatorname{Aut}_{\mathcal{F}'}(Q)$ , leading to the contradiction  $\operatorname{Aut}_{\mathcal{F}}(Q) = \operatorname{Aut}_{\mathcal{F}'}(Q)$ .

**Corollary 4.6.** Let  $\mathcal{F}$  be a fusion system on a finite p-group P. Assume that  $\operatorname{Aut}_{\mathcal{F}}(P) = \operatorname{Aut}_{P}(P)$  and that P has no proper non trivial strongly  $\mathcal{F}$ -closed subgroup. Then  $\mathcal{F}$  is simple.

*Proof.* Let  $\mathcal{F}'$  be a fusion system on a non trivial subgroup P' of P such that  $\mathcal{F}' \subseteq \mathcal{F}$ . Then P' is strongly  $\mathcal{F}$ -closed, hence P' = P by the assumptions. Since  $\operatorname{Aut}_{\mathcal{F}'}(P) \subseteq \operatorname{Aut}_{\mathcal{F}}(P)$ , the assumptions imply further that  $\operatorname{Aut}_{\mathcal{F}'}(P) = \operatorname{Aut}_{\mathcal{F}}(P)$ . Thus  $\mathcal{F}' = \mathcal{F}$  by 4.5.  $\square$ 

Corollary 4.7. Let  $\mathcal{F}$  be a fusion system on a finite p-group P. Suppose that P is generated by the set of its subgroups of order p, that all subgroups of order p in P are  $\mathcal{F}$ -conjugate and that  $\operatorname{Aut}_{\mathcal{F}}(P) = \operatorname{Aut}_{P}(P)$ . Then  $\mathcal{F}$  is simple.

*Proof.* Let Q be a non-trivial strongly  $\mathcal{F}$ -closed subgroup of P. Since all subgroups of order p of P are  $\mathcal{F}$ -conjugate it follows that Q contains all subgroups of order p of P. But then Q = P by the assumptions on P, and hence  $\mathcal{F}$  is simple by 4.6.  $\square$ 

#### 5 DIHEDRAL 2-LOCAL GROUPS

In order to illustrate the terminology from previous sections, we determine for any fusion system on a dihedral 2-group all normal subsystems. In this section we set  $P = \langle x \rangle \rtimes \langle t \rangle$ , such that  $x^{2^n} = 1 = t^2$  for some integer  $n \geq 2$  and  $txt = x^{-1}$ ; that is, P is a dihedral 2-group of order  $2^{n+1} \geq 8$ .

Then P has three conjugacy classes of involutions, namely the classes of the elements  $z=x^{2^{n-1}}$ , t and xt. Besides the trivial fusion system  $\mathcal{F}_P=\mathcal{F}_P(P)$ , there are two other systems, up to isomorphism. We denote by  $\mathcal{F}_P^I$  the fusion system on P generated by  $\mathcal{F}_P$  and an automorphism of order 3 of the Klein four group  $< z > \times < t >$ . Thus z and t are  $\mathcal{F}_P^I$ -conjugate, while z and xt are not; hence there are now two  $\mathcal{F}_P^I$ -conjugacy classes of involutions in P. We denote by  $\mathcal{F}_P^I$  the fusion system on P generated by  $\mathcal{F}_P$  and an automorphism of order 3 on each of the Klein four groups  $< z > \times < t >$  and  $< z > \times < xt >$ . Thus all involutions in P are  $\mathcal{F}_P^I$ -conjugate. Any fusion system on P is isomorphic to one of  $\mathcal{F}_P$ ,  $\mathcal{F}_P^I$ ,  $\mathcal{F}_P^{II}$  and any of these systems appear as fusion systems  $\mathcal{F}_P(G)$  of some finite group G having P as Sylow-2-subgroup (this follows easily from Erdmann's list of examples in [7]). Any 2-block of a finite group having P as defect group has 1 or 2 or 3 isomorphism classes of simple modules, and then its fusion system is isomorphic to  $\mathcal{F}_P$  or  $\mathcal{F}_P^I$  or  $\mathcal{F}_P^{II}$ , respectively. The fusion systems  $\mathcal{F}_P$ ,  $\mathcal{F}_P^I$ ,  $\mathcal{F}_P^{II}$  correspond to the cases (bb), (ab), (aa), respectively, in [6].

For notational convenience, if Q is a Klein four group, we denote by  $\mathcal{F}_Q^I$  and by  $\mathcal{F}_Q^{II}$  the unique fusion system on Q generated by some automorphism of order 3 of Q.

**Theorem 5.1.** Let  $\mathcal{F}$  be a fusion system on the dihedral 2-group P of order at least 8. Then  $\mathcal{F}$  is simple if and only if  $\mathcal{F} = \mathcal{F}_P^{II}$ .

One implication in 5.1 is a consequence of the following.

**Lemma 5.2.** Let Q be the subgroup of index 2 of P generated by  $x^2$  and t. Then  $\mathcal{F}_Q^{II} \subseteq \mathcal{F}_P^I$ ; in particular, Q is strongly  $\mathcal{F}_P^I$ -closed and  $\mathcal{F}_P^I$  is not simple.

Proof. Observe first that  $\mathcal{F}_Q^{II}$  is contained in  $\mathcal{F}_P^I$ , because the three classes of involutions in Q represented by z, t,  $x^2t$  are all conjugate in  $\mathcal{F}_P^I$ . Indeed, this is clear for z and t by the definition of  $\mathcal{F}_P^I$ , and moreover,  $x^2t = xtx^{-1}$ . As  $\mathcal{F}_Q^{II}$  is the unique maximal fusion system on Q, it suffices to show that Q is strongly  $\mathcal{F}_P^I$ -closed, which is easy.  $\square$ 

Proof of Theorem 5.1. All fusion systems  $\mathcal{F}$  on P have the property  $\operatorname{Aut}_{\mathcal{F}}(P) = \operatorname{Aut}_{P}(P)$ . Let Q be a strongly  $\mathcal{F}_{P}^{II}$ -closed subgroup of P. Then Q contains all involutions of P, as all involutions of P are  $\mathcal{F}_{P}^{II}$ -conjugate. Hence Q = P, and 4.6 implies that  $\mathcal{F}_{P}^{II}$  is simple. Conversely,  $\mathcal{F}_{P}$  is not simple by 4.3 and  $\mathcal{F}_{P}^{I}$  is not simple by 5.2.  $\square$ 

**Remark 5.3.** Let q be an odd prime power. If  $q \equiv \pm 1 \pmod{8}$ , then the group PSL(2,q) has a dihedral Sylow-2-subgroup P, and  $\mathcal{F}_P(PSL(2,q)) = \mathcal{F}_P^{II}$ . In particular,  $\mathcal{F}_P(PSL(2,q))$  is simple in that case. If  $q \equiv \pm 3 \pmod{8}$  then PSL(2,q) has a Klein four group Q as Sylow-2-subgroup, and hence  $\mathcal{F}_P(PSL(2,q))$  cannot be simple. As pointed out by the referee, in this case the inclusion  $\mathcal{F}_Q^{II} \leq \mathcal{F}_P^I$  is realised by the inclusion  $PSL_2(q) \leq PGL_2(q)$ . This yields an alternative proof of 5.2.

6 The 2-fusion system of  $\Omega_7(q)$ ,  $q \equiv \pm 3 \pmod{8}$ , is simple

The group theoretic background material needed in this and the next Section can be found in [5], [16], [17], [18], [22], [24].

**Theorem 6.1.** Let q be an odd prime power such that  $q \equiv \pm 3 \pmod{8}$  and let P be a Sylow-2-subgroup of  $\Omega_7(q)$ . We have  $\operatorname{Aut}_{\Omega_7(q)}(P) = \operatorname{Aut}_P(P)$  and P has no non-trivial proper strongly  $\mathcal{F}_{\Omega_7(q)}$ -closed subgroup. In particular, the fusion system  $\mathcal{F}_S(\Omega_7(q))$  is simple.

Proof. Since  $Q \equiv \pm 3 \pmod{8}$  the Sylow-2-subgroup P of  $\Omega_7(q)$  is isomorphic to a Sylow-2-subgroup of the alternating group  $A_{12}$ , whose structure is as follows (cf. [16, §2]): the Thompson subgroup A = J(P) is elementary abelian of order  $2^6$  and we have  $P = A \rtimes D$  for D a dihedral group of order 8. In particular, P is generated by its set of involutions. Moreover, Z(P) is a Klein four group contained in A. The statement  $\operatorname{Aut}_{\Omega_7(q)}(P) = \operatorname{Aut}_P(P)$  is a particular case of [16, 2.1]. Let Q be a non-trivial strongly  $\mathcal{F}_P(\Omega_7(q))$ -closed subgroup of P. Then in particular Q is normal in P, hence  $Q \cap Z(P) \neq 1$ , and so  $Q \cap A \neq 1$ . By the remark preceding [16, 6.3], the cases [16, 4.7.(iii)], [16, 4.8.(iii)] and [16, 6.2.(iii)] correspond to the fusion system of  $\Omega_7(q)$ . It follows from [16, 4.7.(iii)] that the group  $\operatorname{Aut}_{\Omega_7(q)}(A) \cong A_7$  acts irreducibly on A, and hence  $A \subseteq Q$ . By [16, 6.2.(iii)] every involution of P is  $\Omega_7(q)$ -conjugate to an involution in A. Thus Q contains all involutions in P, and hence Q = P as P is generated by its set of involutions. The simplicity of the fusion system  $\mathcal{F}_{\Omega_7(q)}$  follows from 4.6.  $\square$ 

# 7 The Solomon 2-local finite group Sol(3) is simple

Let q be an odd prime power such that  $q \equiv \pm 3 \pmod{8}$  and let P be a Sylow-2-subgroup of the 7-dimensional spinor group  $\mathrm{Spin}_7(q)$  over  $\mathbb{F}_q$ . Then  $\mathrm{Spin}_7(q)$  has a central involution z such that  $\mathrm{Spin}_7(q)/< z>\cong \Omega_7(q)$ , and hence P/< z> is isomorphic to a Sylow-2-subgroup of  $\Omega_7(q)$ . R. Solomon showed in [18] that if  $q \equiv \pm 3 \pmod{8}$ , no finite group having P as Sylow-2-subgroup can have a fusion system which properly contains  $\mathcal{F}_P(\mathrm{Spin}_7(q))$ , in which all involutions of P are conjugate and which has the property that  $C_{\mathcal{F}}(z)/< z \cong \mathcal{F}_S(\Omega(7,q))$ . Levi and Oliver proved in [11, 2.1], that there is actually for any odd prime power q a fusion system  $\mathcal{F}_{\mathrm{Sol}(q)}$  on P with the above properties, and that this fusion system is the underlying fusion system

of a unique 2-local finite group; we are going to call this the *Solomon 2-local finite*  $group \, Sol(q)$ . Kessar showed in [9] that the fusion system Sol(3) cannot even occur as fusion system of a 2-block of a finite group with P as defect group.

# **Theorem 7.1.** The Solomon 2-local finite group Sol(3) is simple.

*Proof.* Let  $\mathcal{F}$  be the underlying fusion system of Sol(3) on a Sylow-2-subgroup P of Spin<sub>7</sub>(3) as constructed in [11, §2]. The normaliser of P in Spin<sub>7</sub>(3) is the inverse image of the normaliser of a Sylow-2-subgroup of  $\Omega_7(3)$ , and hence Aut<sub> $\mathcal{F}$ </sub>(P) = Aut<sub>Spin<sub>7</sub>(3)</sub>(P) = Aut<sub>P</sub>(P), where the first equality uses [11, 2.1].

Let Q be a non trivial strongly  $\mathcal{F}$ -closed subgroup of P. In particular, Q is strongly  $\mathcal{F}_P(\mathrm{Spin}_7(3))$ -closed. Since all involutions in P are  $\mathcal{F}$ -conjugate, they are all contained in Q. Thus Q strictly contains < z >. Its image  $\bar{Q} = Q/< z >$  in  $\bar{P} = P/< z >$  is strongly  $\mathcal{F}_{\bar{P}}(\Omega_7(3))$ -closed. By 6.1 this forces  $\bar{Q} = \bar{P}$ , hence Q = P. Thus  $\mathcal{F}$  is simple by 4.6.  $\square$ 

### 8 Characterisations of fusion systems

Proposition 4.5 would be false without the assumption on  $\mathcal{F}'$  being normal in  $\mathcal{F}$ . For the sake of completeness, we include some statements regarding the situation of not necessarily normal subsystems.

The first result shows that a fusion system  $\mathcal{F}$  on a finite p-group P is determined by its fusion on elements of order p in P and their centralisers in  $\mathcal{F}$ . If Q is a subgroup of P, we denote by  $C_{\mathcal{F}}(Q)/Z(Q)$  the category on  $C_P(Q)/Z(Q)$  whose morphisms are induced by morphisms in  $C_{\mathcal{F}}(Q)$  via the canonical map  $C_P(Q) \to C_P(Q)/Z(Q)$ . By the remarks following 1.8, if Q is fully  $\mathcal{F}$ -centralised, then  $C_{\mathcal{F}}(Q)/Z(Q)$  is a fusion system on  $C_P(Q)/Z(Q)$ .

**Proposition 8.1.** Let P be a finite p-group, and let  $\mathcal{F}$ ,  $\mathcal{F}'$  be fusion systems on P such that  $\mathcal{F}' \subseteq \mathcal{F}$ . The following are equivalent.

- (i)  $\mathcal{F} = \mathcal{F}'$ .
- (ii) For any fully  $\mathcal{F}'$ -centralised subgroup Z of order p of P we have  $\operatorname{Hom}_{\mathcal{F}}(Z,P) = \operatorname{Hom}_{\mathcal{F}'}(Z,P)$  and  $C_{\mathcal{F}}(Z) = C_{\mathcal{F}'}(Z)$ .

Proof. Suppose that (ii) holds. Let Q be a non trivial subgroup of P and let  $\varphi \in \operatorname{Aut}_{\mathcal{F}}(Q)$ . Let Z be a subgroup of order P of Z(Q). Let  $\psi: Z \to P$  be a morphism in  $\mathcal{F}'$  such that  $\psi(Z)$  is fully  $\mathcal{F}'$ -centralised. Since  $Q \subseteq C_P(Z)$ , the morphism  $\psi$  extends to a morphism  $\tau: Q \to P$  in  $\mathcal{F}'$ . In order to show that  $\varphi$  is a morphism in  $\mathcal{F}'$ , it suffices to show that  $\tau \circ \varphi \circ \tau^{-1}|_{\tau(Q)} \in \operatorname{Aut}_{\mathcal{F}'}(\tau(Q))$ . Thus, after replacing Q by  $\tau(Q)$ , we may assume that Z is fully  $\mathcal{F}'$ -centralised. By the assumptions, the morphism  $\varphi^{-1}|_{\varphi(Z)}: \varphi(Z) \to Z$  belongs to  $\mathcal{F}'$ , and hence extends to a morphism  $\kappa: Q \to P$  in  $\mathcal{F}'$  (since  $Q = \varphi(Q) \subseteq C_P(\varphi(Z))$ ). Then  $\kappa \circ \varphi: Q \to P$  restricts to the identity on Z, hence  $\kappa \circ \varphi$  is a morphism in  $C_{\mathcal{F}}(Z) = C_{\mathcal{F}'}(Z)$ . In particular,  $\kappa \circ \varphi$  is a morphism

in  $\mathcal{F}'$ . But then so is  $\varphi$ , because  $\kappa$  is in  $\mathcal{F}'$ . Alperin's fusion theorem implies now (i). The converse is trivial.  $\square$ 

**Corollary 8.2.** Let  $\mathcal{F}$ ,  $\mathcal{F}'$  be fusion systems on a finite p-group P such that  $\mathcal{F}' \leq \mathcal{F}$ . If  $\operatorname{Hom}_{\mathcal{F}}(Z,P) = \operatorname{Hom}_{\mathcal{F}'}(Z,P)$  and  $C_{\mathcal{F}}(Z)/Z$  is a simple fusion system on  $C_P(Z)/Z$  for any fully  $\mathcal{F}'$ -centralised subgroup Z of order p of P, then  $\mathcal{F}' = \mathcal{F}$ .

Proof. We have  $C_{\mathcal{F}'}(Z) \leq C_{\mathcal{F}}(Z)$  and hence  $C_{\mathcal{F}'}(Z)/Z \leq C_{\mathcal{F}}(Z)/Z$ . Thus, if  $C_{\mathcal{F}}(Z)/Z$  is simple for any fully  $\mathcal{F}'$ -centralised subgroup Z of order p of P, then  $C_{\mathcal{F}'}(Z)/Z = C_{\mathcal{F}}(Z)/Z$ . Since p'-automorphisms lift uniquely through central p-extensions this implies  $C_{\mathcal{F}'}(Z) = C_{\mathcal{F}}(Z)$ , hence  $\mathcal{F}' = \mathcal{F}$  by 8.1.  $\square$ 

**Lemma 8.3.** Let  $\mathcal{F}$ ,  $\mathcal{F}'$  be fusion systems on a finite p-group P such that  $\mathcal{F}' \subseteq \mathcal{F}$ . Let  $Q \xrightarrow{\varphi} R \xrightarrow{\psi} S$  be a sequence of two composable morphisms in  $\mathcal{F}$  such that Q, R, S are  $\mathcal{F}$ -centric. If any two of the three morphisms  $\varphi$ ,  $\psi$ ,  $\psi \circ \varphi$  are in  $\mathcal{F}'$ , so is the third.

Proof. If  $\varphi$ ,  $\psi$  are in  $\mathcal{F}'$ , so is  $\psi \circ \varphi$ . If  $\psi$ ,  $\psi \circ \varphi$  are in  $\mathcal{F}'$ , then so is  $\varphi = \psi^{-1}|_{\operatorname{Im}(\psi \circ \varphi)} \circ \psi \circ \varphi$ . Assume now that  $\varphi$  and  $\psi \circ \varphi$  are morphisms in  $\mathcal{F}'$ . Up to replacing Q by  $\varphi(Q)$ , we may assume that  $\varphi$  is the inclusion  $Q \subseteq R$ . Let  $v \in N_R(Q)$ . Then, for any  $u \in Q$ , we have  $\psi(vu) = v(vu)$ . Thus the morphism  $\psi|_Q$  extends to a morphism  $\tau : N_R(Q) \to P$  in  $\mathcal{F}'$ . By 1.11, we have  $\tau(N_R(Q)) = \psi(N_R(Q))$  and hence  $\psi^{-1} \circ \tau \in \operatorname{Aut}_{Z(Q)}(N_R(Q))$  by 1.10. Thus  $\psi|_{N_R(Q)}$  is a morphism in  $\mathcal{F}'$ . It follows inductively, that  $\psi$  is a morphism in  $\mathcal{F}'$ .  $\square$ 

**Proposition 8.4.** Let  $\mathcal{F}$ ,  $\mathcal{F}'$  be fusion systems on a finite p-group P such that  $\mathcal{F}' \subseteq \mathcal{F}$ . The following are equivalent.

- (i)  $\mathcal{F} = \mathcal{F}'$ .
- (ii)  $\operatorname{Hom}_{\mathcal{F}}(Q, P) = \operatorname{Hom}_{\mathcal{F}'}(Q, P)$  for every minimal  $\mathcal{F}$ -centric subgroup Q of P.

*Proof.* Assume that (ii) holds. Let R be an  $\mathcal{F}$ -centric subgroup of P, and let Q be a minimal  $\mathcal{F}$ -centric subgroup of P contained in R. Let  $\varphi \in \operatorname{Hom}_{\mathcal{F}}(R,P)$ . Then  $\varphi|_Q \in \operatorname{Hom}_{\mathcal{F}'}(Q,P) = \operatorname{Hom}_{\mathcal{F}'}(Q,P)$ . But then  $\varphi \in \operatorname{Hom}_{\mathcal{F}'}(R,P)$  by 8.3. Alperin's fusion theorem implies (i). The converse is trivial.  $\square$ 

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